SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

		0.0000		the investment company Act of 1					
1. Name and Address of Reporting Person [*] COLUMN GROUP III, LP	I Requiring Stater			3. Issuer Name and Ticker or Trading Symbol <u>NGM BIOPHARMACEUTICALS INC</u> [NGM]					
(Last) (First) (Middle) 1700 OWENS STREET SUITE 500				4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner				Amendment, Date of Original Filed th/Day/Year)	
(Street) SAN FRANCISCO CA 94158				Officer (give title below)	Арр		 Individual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person X Form filed by More than One Reporting Person 		
(City) (State) (Zip)									
	Ta	able I - Non	-Derivat	ive Securities Beneficial	ly Owned				
1. Title of Security (Instr. 4)			. Amount of Securities Beneficially Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)			
Common Stock				53,172 ⁽¹⁾	D ⁽²⁾⁽³	3)			
	(e.g			e Securities Beneficially nts, options, convertible		s)			
1. Title of Derivative Security (Instr. 4)		2. Date Exercisable an Expiration Date (Month/Day/Year)		3. Title and Amount of Secur Underlying Derivative Secur	rities 4.		rcise	5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
		Date Expiration		Title	Amount or Number of Shares	Deriva	ivative	or Indirect (I) (Instr. 5)	
1. Name and Address of Reporting Person [*]								ļ	ļ
COLUMN GROUP III, LP									
(Last) (First) 1700 OWENS STREET SUITE 500	(Middle)								
(Street) SAN FRANCISCO CA	94158								
(City) (State)	(Zip)								
1. Name and Address of Reporting Person [*] COLUMN GROUP III-A, LP									
(Last) (First) 1700 OWENS STREET SUITE 500	(Middle)								
(Street) SAN FRANCISCO CA	94158								
(City) (State)	(Zip)								
1. Name and Address of Reporting Person* <u>COLUMN GROUP III GP, LP</u>]						
(Last) (First) 1700 OWENS STREET SUITE 500	(Middle)								
(Street)									

SAN FRANCISCO	CA	94158
(City)	(State)	(Zip)

Explanation of Responses:

1. Consists of 24,972 shares of Common Stock held directly by The Column Group III, LP ("TCG III LP") and 28,200 shares of Common Stock held directly by The Column Group III-A, LP ("TCG III-A LP").

2. The securities are directly held by TCG III LP, and indirectly held by The Column Group III GP, LP ("TCG III GP"), the general partner of TCG III LP. The managing partners of TCG III GP are David Goeddel, Peter Svennilson and Tim Kutzkey. The managing partners of TCG III GP may be deemed to have voting and investment power with respect to such shares. Each individual managing partner disclaims beneficial ownership of these shares, except to the extent of his pecuniary interest in such shares.

3. The securities are directly held by TCG III-A LP, and indirectly held by TCG III GP, the general partner of TCG III-A LP. The managing partners of TCG III GP are David Goeddel, Peter Svennilson and Tim Kutzkey. The managing partners of TCG III GP may be deemed to have voting and investment power with respect to such shares. Each individual managing partner disclaims beneficial ownership of these shares, except to the extent of his pecuniary interest in such shares.

<u>/s/ Jennifer J. Carlson,</u>	
Attorney-in-Fact	

<u>10/11/2019</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.