SEC Form 4
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## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287
Estimated average t	ourden
hours per response:	0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
or Section 20(b) of the Investment Company Act of 1040

			01 Section 30(11) 0		esune	an company	ACLUIT	940						
1. Name and Addre COLUMN G	2. Issuer Name and Ticker or Trading Symbol         NGM BIOPHARMACEUTICALS INC         NGM ]         5. Relationship of Reporting Person(s) to Issuer         (Check all applicable)         X       Director         X       10% Owner													
(Last) 1 LETTERMAN	(First)	3. Date of Earliest Transaction (Month/Day/Year)     Officer (give title below)     Other (specify below)       11/15/2023     below)     below)												
BUILDING D, S	4. If Amendment, Date of Original Filed (Month/Day/Year)       6. Individual or Joint/Group Filing (Check Applicable Line)													
(Street) SAN	СА	94129		Form filed by One Reporting Person X Form filed by More than One Report Person										
FRANCISCO	CA		Rule 10b5-:	Rule 10b5-1(c) Transaction Indication										
(City)	(State)	(Zip)	Check this box satisfy the affirm	to indica native de	te that efense	a transaction conditions of F	was made Rule 10b5	e pursuant to i-1(c). See Ins	to a contract, instruction or written plan that is intended to Instruction 10.					
	Tab	le I - Non-Deriva	tive Securities	Acqu	ired	, Dispose	d of, c	or Benefi	cially Owned					
1. Title of Security (Instr. 3)		2. Transaction Date (Month/Day/Year	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
				Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		,,			
Common Stock		11/15/2023		Р		16,791	A	\$0.7075	(1) 944,022	I	See footnotes <sup>(2)(3)</sup>			
Common Stock		11/16/2023		Р		5,840	A	\$0.6211 <sup>(</sup>	<sup>(4)</sup> 949,862	I	See footnotes <sup>(2)(3)</sup>			
Common Stock									2,650,177	I	See footnote <sup>(5)</sup>			
Common Stock									90,442	Ι	See footnote <sup>(6)</sup>			
Common Stock									11,103,333	3 D <sup>(7)</sup>				
Common Stock									858,035	I	See footnote <sup>(8)</sup>			
Common Stock									968,990	I	See footnote <sup>(9)</sup>			
Common Stock									2,265,758	Ι	See footnote <sup>(10)</sup>			
Common Stock									1,298,908	I	See footnote <sup>(11)</sup>			
Common Stock									1,298,908	I	See footnote <sup>(12)</sup>			
Common Stock									100,000	I	See footnote <sup>(13)</sup>			
Common Stock									100,000	I	See footnote <sup>(14)</sup>			
Common Stock									15,000	I	See footnote <sup>(15)</sup>			
Common Stock									44,000	I	See footnote <sup>(16)</sup>			

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	Expiration Date	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)

		Tal	ole II - Derivat	ve S	eci	urit	ies /	<b>Acqu</b>	ired, Disp	osed of,	or Be	nenena	ly Owne	d		
					-	_			options, o	Expiration	<u> </u>	of				
1. Title of Derivative	2. Conversion	3. Transaction Date	3A. Deemed Execution Date,	Code Trans	actig	on –	of	m( <b>D)</b> er	ExDectisEblero	te	Amou		8. Price of Derivative	9. Number of derivative	10. Ownership	11. Nature of Indirect
security (instr:3) COLU	or Exercise In Andrass of Decivative Security	(Month/Day/Year) * Reporting Person* UPLP	if any (Month/Day/Year)	Code 8)	(Ins	tr.	Secu Acqu (A) o Dispo	iired r osed	(Month/Day/\	rear)	Secur Unde Deriv Secur 3 and	rlying ative rity (Instr.	Security (Instr. 5)	Securities Beneficially Owned Following Reported	Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
	ERMAN DI	(First) RIVE FE DM-900	(Middle)		_		of (D (Insti and §	. 3, 4						Transaction(s) (Instr. 4)		
					Ļ							Amount or				
(Street) SAN FRANCI	ISCO	CA	94129	Code	v		(A)	(D)	Date Exercisable	Expiration Date	Title	Number of Shares				
(City)		(State)	(Zip)		-											
		Reporting Person <sup>*</sup>														
(Last) 1700 OW SUITE 5	VENS STRI	(First) EET	(Middle)		_											
(Street) SAN FRANCI	ISCO	CA	94158		_											
(City)		(State)	(Zip)													
		Reporting Person <sup>*</sup> UP III, LP			_											
	ERMAN DH D, STE DM		(Middle)													
(Street) SAN FRANCI	ISCO	СА	94129		-											
(City)		(State)	(Zip)		-											
1. Name ar		Reporting Person <sup>*</sup> UP III-A, LP														
	ERMAN DI D, STE, DM		(Middle)		_											
(Street) SAN FRANCI		CA	94129		_											
(City)		(State)	(Zip)		-											
	nd Address of n Group I	Reporting Person <sup>*</sup> $V, LP$														
	ERMAN DI	(First) RIVE FE DM-900	(Middle)		_											
(Street) SAN FRANCI	ISCO	CA	94129		_											
(City)		(State)	(Zip)													
	nd Address of n Group I	Reporting Person <sup>*</sup> <u>V-A, LP</u>														

(Last) 1 LETTERMAN I	(First) DRIVE	(Middle)							
BUILDING D, SUITE DM-900									
(Street) SAN FRANCISCO	СА	94129							
(City)	(State)	(Zip)							
1. Name and Address <u>Column Group</u>									
(Last)	(First)	(Middle)							
1 LETTERMAN I BUILDING D, SU									
(Street) SAN FRANCISCO	CA	94129							
(City)	(State)	(Zip)							
1. Name and Address TCG IV GP, L									
(Last) 1 LETTERMAN I	(First)	(Middle)							
BUILDING D, SU									
(Street) SAN FRANCISCO	СА	94129							
(City)	(State)	(Zip)							
1. Name and Address <u>Column Group</u>	of Reporting Person <sup>*</sup> Opportunity III,	LP							
(Last)	(First)	(Middle)							
1 LETTERMAN I BUILDING D, SU									
(Street) SAN FRANCISCO	СА	94129							
(City)	(State)	(Zip)							
1. Name and Address of Reporting Person <sup>*</sup> Column Group Opportunity III GP, LP									
(Last) 1 LETTERMAN I 1 LETTERMAN I		(Middle)							
(Street) SAN FRANCISCO	СА	94129							
(City)	(State)	(Zip)							

## Explanation of Responses:

1. The price reported in Column 4 is a weighted average price. These shares were acquired in multiple transactions at prices ranging from \$0.681 to \$0.7201, inclusive. The Reporting Persons undertake to provide to the Issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares acquired at each separate price within the ranges set forth in this footnote 1 to this Form 4.

2. The securities are directly held by The Column Group Opportunity III, LP ("TCG Opportunity III LP"). The Column Group Opportunity III GP, LP ("TCG Opportunity III GP LP") is the general partner of TCG Opportunity III LP and may be deemed to have voting, investment and dispositive power with respect to these securities. TCG Opportunity III GP, LLC ("TCG Opportunity III GP LLC") is the general partner of TCG Opportunity III GP LP and the ultimate general partner of TCG Opportunity III LP and may be deemed to have voting, investment and dispositive power with respect to these securities. The managing members of TCG Opportunity III GP LLC are David Goeddel, a member of the issuer's board of directors, Peter Svennilson and Tim Kutzkey (collectively, the "TCG Managing Members").

Continued from Footnote 2) The TCG Managing Members may be deemed to share voting, investment and dispositive power with respect to such securities. TCG Opportunity III GP LP, TCG Opportunity III GP LLC and each of the TCG Managing Members disclaim beneficial ownership of these securities, except to the extent of their respective pecuniary interest in such securities.
 The price reported in Column 4 is a weighted average price. These shares were acquired in multiple transactions at prices ranging from \$0.6198 to \$0.6221, inclusive. The Reporting Persons undertake

to provide to the Issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares acquired at each separate price within the ranges set forth in this footnote 4 to this Form 4.

5. The securities are directly held by The Column Group IV, LP ("TCG IV LP"). The Column Group IV GP, LP ("TCG IV GP LP") is the general partner of TCG IV LP and may be deemed to have voting, investment and dispositive power with respect to these securities. TCG IV GP LLC ("TCG IV LLC") is the general partner of TCG IV GP LP and the ultimate general partner of TCG IV LP and may be deemed to have voting, investment and dispositive power with respect to these securities. The managing members of TCG IV LLC are the TCG Managing Members. The TCG Managing Members may be deemed to share voting, investment and dispositive power with respect to such securities. TCG IV GP LP, TCG IV LLC and each of the TCG Managing Members disclaim beneficial ownership of these securities, except to the extent of their respective pecuniary interest in such securities.

6. The securities are directly held by The Column Group IV-A, LP ("TCG IV-A LP"). TCG IV GP LP is the general partner of TCG IV-A LP and may be deemed to have voting, investment and dispositive power with respect to these securities. TCG IV LLC is the general partner of TCG IV GP LP and the ultimate general partner of TCG IV-A LP and may be deemed to have voting, investment and dispositive power with respect to these securities. The managing members of TCG IV LLC are the TCG Managing Members. The TCG Managing Members may be deemed to share voting, investment and dispositive power with respect to such securities. TCG IV GP LP, TCG IV LLC are the TCG Managing Members disclaim beneficial ownership of these securities, except to the extent of their respective pecuniary interest in such securities.

7. The securities are directly held by The Column Group, LP ("TCG LP"). The Column Group GP, LP ("TCG GP") is the general partner of TCG LP and may be deemed to have voting, investment and dispositive power with respect to these securities. The managing partners of TCG GP are David Goeddel, a member of the Issuer's board of directors and Peter Svennilson (collectively, the "TCG Managing Partners"). The TCG Managing Partners may be deemed to share voting, investment and dispositive power with respect to such securities. TCG GP and each of the TCG Managing Partners disclaim beneficial ownership of these securities, except to the extent of their respective pecuniary interest in such securities.

8. The securities are directly held by The Column Group III, LP ("TCG III LP"). The Column Group III GP, LP ("TCG III GP") is the general partner of TCG III LP and may be deemed to have voting, investment and dispositive power with respect to these securities. The managing partners of TCG III GP are the TCG Managing Members. The TCG Managing Members may be deemed to share voting, investment and dispositive power with respect to such securities. TCG III GP and each of the TCG Managing Members disclaim beneficial ownership of these securities, except to the extent of their respective pecuniary interest in such securities.

9. The securities are directly held by The Column Group III-A, LP ("TCG III-A LP"). TCG III GP is the general partner of TCG III-A LP and may be deemed to have voting, investment and dispositive power with respect to these securities. The managing partners of TCG III GP are the TCG Managing Members. The TCG Managing Members may be deemed to share voting, investment and dispositive power with respect to such securities. TCG III GP and each of the TCG Managing Members disclaim beneficial ownership of these securities, except to the extent of their respective pecuniary interest in such securities.

10. The securities are directly held by The Column Group II, LP ("TCG II LP"). TCG II GP is the general partner of TCG II LP and may be deemed to have voting, investment and dispositive power with respect to these securities. The managing partners of TCG II GP are the TCG Managing Partners. The TCG Managing Partners may be deemed to share voting, investment and dispositive power with respect to such securities. TCG II GP and each of the TCG Managing Partners disclaim beneficial ownership of these securities, except to the extent of their respective pecuniary interest in such securities.

11. The securities are directly held by Ponoi Capital, LP ("Ponoi LP"). Ponoi Management, LLC ("Ponoi LLC") is the general partner of Ponoi LP and may be deemed to have voting, investment and dispositive power with respect to these securities. The managing members of Ponoi LLC are the TCG Managing Members. The TCG Managing Members may be deemed to share voting, investment and dispositive power with respect to such securities. Ponoi LLC and each of the TCG Managing Members disclaim beneficial ownership of these securities, except to the extent of their respective pecuniary interest in such securities.

12. The securities are directly held by Ponoi Capital II, LP ("Ponoi II LP"). Ponoi II Management, LLC ("Ponoi II LLC") is the general partner of Ponoi II LP and may be deemed to have voting, investment and dispositive power with respect to these securities. The managing members of Ponoi II LLC are the TCG Managing Members. The TCG Managing Members may be deemed to share voting, investment and dispositive power with respect to such securities. Ponoi II LLC and each of the TCG Managing Members disclaim beneficial ownership of these securities, except to the extent of their respective pecuniary interest in such securities.

13. The securities are directly held by TCG GP. The managing members of TCG GP are the TCG Managing Partners. The TCG Managing Partners may be deemed to share voting, investment and dispositive power with respect to such securities. Each of the TCG Managing Partners disclaim beneficial ownership of these securities, except to the extent of their respective pecuniary interest in such securities.

14. The securities are directly held by The Column Group Management LP ("TCGM LP"). The managing partners of TCGM LP are the TCG Managing Members. The TCG Managing Members may be deemed to share voting, investment and dispositive power with respect to such securities. Each of the TCG Managing Members disclaim beneficial ownership of these securities, except to the extent of their respective pecuniary interest in such securities.

15. The securities are owned solely by Tim Kutzkey.

16. The securities are directly held by Peter Svennilson.

## Remarks:

Due to SEC restrictions on the number of reporting persons, this is form 2 of 3.

<u>/s/ James Evangelista, as</u> <u>Attorney-in-fact for The</u> <u>Column Group GP, LP</u>	<u>11/17/2023</u>
<u>/s/ James Evangelista, as</u> <u>Attorney-in-fact for The</u> <u>Column Group III LP</u>	<u>11/17/2023</u>
<u>/s/ James Evangelista, as</u> <u>Attorney-in-fact for The</u> <u>Column Group III-A LP</u>	<u>11/17/2023</u>
<u>/s/ James Evangelista, as</u> <u>Attorney-in-fact for The</u> <u>Column Group III GP LP</u>	<u>11/17/2023</u>
<u>/s/ James Evangelista, as</u> <u>Attorney-in-fact for The</u> <u>Column Group IV LP</u>	<u>11/17/2023</u>
<u>/s/ James Evangelista, as</u> <u>Attorney-in-fact for The</u> <u>Column Group IV-A LP</u>	<u>11/17/2023</u>
<u>/s/ James Evangelista, as</u> <u>Attorney-in-fact for The</u> <u>Column Group IV GP LP</u>	<u>11/17/2023</u>
<u>/s/ James Evangelista, as</u> <u>Attorney-in-fact for TCG IV</u> <u>GP LLC</u>	<u>11/17/2023</u>
<u>/s/ James Evangelista, as</u> <u>Attorney-in-fact for The</u> <u>Column Group Opportunity</u> <u>III LP</u>	<u>11/17/2023</u>
<u>/s/ James Evangelista, as</u> <u>Attorney-in-fact for The</u> <u>Column Group Opportunity</u> III GP LP	<u>11/17/2023</u>
** Signature of Reporting Person	Date

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.